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# Unravelling the Keto–Enol Tautomer Dependent Photochemistry and Degradation Pathways of the Protonated UVA Filter Avobenzone

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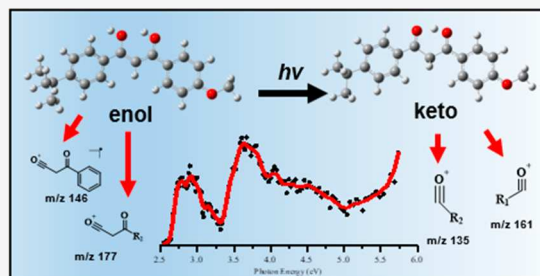
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**ABSTRACT:** Avobenzone (AB) is a widely used UVA filter known to undergo irreversible photodegradation. Here, we investigate the detailed pathways by which AB photodegrades by applying UV laser-interfaced mass spectrometry to protonated AB ions. Gas-phase infrared multiple-photon dissociation (IRMPD) spectra obtained with the free electron laser for infrared experiments, FELIX, (600–1800  $\text{cm}^{-1}$ ) are also presented to confirm the geometric structures. The UV gas-phase absorption spectrum (2.5–5 eV) of protonated AB contains bands that correspond to selective excitation of either the enol or diketo forms, allowing us to probe the resulting, tautomer-dependent photochemistry. Numerous photofragments (i.e., photodegradants) are directly identified for the first time, with  $m/z$  135 and 161 dominating, and  $m/z$  146 and 177 also appearing prominently. Analysis of the production spectra of these photofragments reveals that that strong enol to keto photoisomerism is occurring, and that protonation significantly disrupts the stability of the enol (UVA active) tautomer. Close comparison of fragment ion yields with the TD-DFT-calculated absorption spectra give detailed information on the location and identity of the dissociative excited state surfaces, and thus provide new insight into the photodegradation pathways of avobenzone, and photoisomerization of the wider class of  $\beta$ -diketone containing molecules.



## 1. INTRODUCTION

So that the skin can be protected against radiation damage linked to sunlight exposure, considerable effort has been put into the development of effective sunscreens.<sup>1–4</sup> Over recent years, a number of advanced laser spectroscopic studies have been conducted on sunscreen molecules under highly controlled conditions, with the aim of improving our fundamental knowledge of the mechanisms by which molecular sunscreens function.<sup>1,5–13</sup> Such measurements aim to provide fundamental insights into the properties of the sunscreen molecule free from the complications of the complex environment of a real sunscreen lotion. One group of experiments has focused on “isolated” gas-phase sunscreen molecules to provide information on how UV light absorption varies as a function of molecular structure.<sup>11,12,14–16</sup> A second group of studies have used transient absorption spectroscopy to probe relaxation dynamics of two-component mixtures of a single organic sunscreen molecule in a single solvent.<sup>1,6–8,10</sup> Experiments are typically complemented by high-level quantum chemical calculations,<sup>17–22</sup> which then provide important insights into the mechanism by which the sunscreen molecule operates.

Avobenzone (AB: 4-*tert*-butyl-4'-methoxydibenzoylmethane; BD-DBM or Parsol 1789) is a widely used UVA filter which is known to suffer from irreversible UV-driven

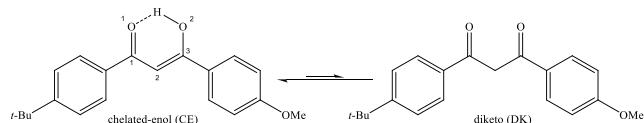
photodegradation.<sup>1,23,24</sup> AB is a dibenzoylmethane derivative and a member of a family of molecules known to take multiple isomerization pathways following UV excitation.<sup>25–30</sup> Photoexcitation of AB is believed to lead to nonadiabatic population of high-lying  $S_0$  vibrational levels, which can lead to isomerization to a less photostable form.<sup>31</sup> Previous photolysis experiments performed in hexane have shown that this photodegradation of AB occurs by a Norrish type 1 mechanism.<sup>32,33</sup> (The key tautomeric forms of AB involved in this photoisomerization are illustrated in Scheme 1.) In the ground state, AB is mostly found in its chelated enol (CE) form due to the stabilizing intramolecular hydrogen bond, although the diketo (DK) form is also typically present, with the relative tautomeric ratios being strongly solvent dependent. The CE form is the active UVA blocker ( $\lambda_{\text{max}} = 355 \text{ nm}$ ), with photoisomerization leading to nonchelate forms, which gradually populate the DK form. The DK tautomer absorbs

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**Scheme 1. Schematic Diagram Illustrating the UVA Active Chelated-Enol (CE) and UVB Active Diketo (DK) Tautomer of Avobenzone. Irradiation of the DK Tautomer Causes Photoinitiated  $\alpha$ -Cleavage**



at higher UV energies ( $\lambda_{\text{max}} = 265 \text{ nm}$ ) and has been shown to be responsible for formation of a reactive triplet. Indeed, the instability of the diketo form is well established.<sup>32,34–36</sup> Wang et al., for example, demonstrated that AB photodegrades (in the UVA) more quickly as a function of chlorine substitution at the  $\alpha$ -carbon (C2) since this promotes the diketo form.<sup>37</sup>

A considerable number of studies have been performed to explore how AB tautomerization, and hence photostability, is affected by the solvent.<sup>38–40</sup> These studies prompt questions of whether other local environmental factors can influence the extent of tautomerization. In this work, we directly address one such issue, namely the potential effect of acid conditions on the photochemistry of AB by directly studying the protonated form of AB, i.e.  $\text{AB}\cdot\text{H}^+$ , as an isolated system in the gas phase. We use laser-interfaced mass spectrometry (LIMS) to select ions that are then subjected to wavelength-dependent laser photodissociation, allowing us to measure the gas-phase absorption spectrum.<sup>41,42</sup> LIMS has recently been shown to be a useful tool for studying isomeric systems.<sup>43–47</sup> Applying LIMS to  $\text{AB}\cdot\text{H}^+$  allows us to selectively investigate the photochemistry of both enol and keto tautomers, and to probe the extent to which tautomerization occurs, away from any influences of the bulk-phase environment. Importantly, our experiments also allow us to measure the photodegradants of the individual  $\text{AB}\cdot\text{H}^+$  tautomers. To complement the UV photochemistry, we present infrared multiple-photon dissociation (IRMPD) spectroscopy of  $\text{AB}\cdot\text{H}^+$  to confirm which tautomers are present.

Fundamental studies of the effect of pH on sunscreen molecules to date are sparse.<sup>5,41,48,49</sup> Recent measurements from our group have highlighted the impact of protonation and deprotonation on oxybenzone, in terms of the nature and wavelength dependence of its UV photofragmentation pathways.<sup>41</sup> A separate study of deprotonated 2-phenylbenzimidazole-5-sulfonic acid provided direct evidence for the production of free radical photoproducts following UVA excitation.<sup>42</sup> We note, however, that there is broad interest in the effect of pH in the field of environmental chemistry and degradation of man-made pollutants present in surface water,<sup>50–56</sup> so that further work assessing the effect of pH on individual sunscreens is highly desirable.

## 2. METHODS

Gas-phase UV photodissociation experiments were conducted in an AmaZon SL dual funnel electrospray ionization quadrupole ion trap (ESI-QIT) mass spectrometer (Bruker Daltonics Inc., Billerica, MA, USA), which was modified to allow LIMS.<sup>41,43,57</sup> Avobenzone (AB) was purchased from Sigma-Aldrich (St. Louis, MO, USA) and HPLC-grade EtOH was purchased from Fisher Scientific, Inc. (Pittsburgh, PA, USA), both used as received. Solutions of AB ( $1 \times 10^{-4} \text{ mol dm}^{-3}$ ) in EtOH were electrosprayed using typical instrumental parameters (nebulizing gas pressure of 10.0 psi; injection rate

of 0.33 mL/h; drying gas flow rate of 8.0 L/min), and run in positive ion mode at a capillary temperature of 100 °C.

$\text{AB}\cdot\text{H}^+$  was mass selected and isolated in the ion-trap prior to laser irradiation. UV–vis photons were produced by a 10 Hz Nd:YAG (Surelite, Amplitude Laser Group, San Jose, CA, USA) pumped OPO (Horizon, Amplitude Laser Group) laser, giving  $\sim 0.3 \text{ mJ}$  across the range 400–216 nm (3.10–5.80 eV). A 2 nm laser step size was used to record the photodepletion and photofragment spectra of the  $\text{AB}\cdot\text{H}^+$  parent ion. To check for single-photon conditions, the laser power dependence on signal was determined as described previously.<sup>42</sup>

Photofragmentation experiments were conducted with an ion accumulation time of 20 ms and a fragmentation time of 100 ms, thereby ensuring that each mass-selected ion packet interacted with one laser pulse, minimizing the likelihood of multiphoton events. When fluorescence is negligible,<sup>58</sup> the UV excited gaseous ion will fragment upon excited state relaxation, yielding an action absorption spectrum by photodepletion (PD).<sup>57,59,60</sup> PD was measured as a function of the scanned wavelength, with the photofragment production (PF) also recorded simultaneously at each wavelength, both of which according to

$$\text{photodepletion intensity} = \frac{\ln\left(\frac{\text{Int}_{\text{OFF}}}{\text{Int}_{\text{ON}}}\right)}{\lambda \times P} \quad (1)$$

$$\text{photofragmentation intensity} = \frac{\left(\frac{\text{Int}_{\text{FRAG}}}{\text{Int}_{\text{OFF}}}\right)}{\lambda \times P} \quad (2)$$

where  $\text{Int}_{\text{OFF}}$  and  $\text{Int}_{\text{ON}}$  are the peak intensities with laser off and on respectively;  $\text{Int}_{\text{FRAG}}$  is the selected fragment intensity with the laser on;  $\lambda$  is the excitation wavelength (nm); and  $P$  is the tunable laser pulse energy (mJ). The photodepletion intensities were taken from an average of three runs at each wavelength of the ranges studied. We note that fragment ions with  $m/z < 50$  are not detectable in our mass spectrometer because of the low-mass cutoff of the quadrupole ion trap. The UV photodepletion (absorption) spectra of the major AB photofragments produced upon the photoexcitation of  $\text{AB}\cdot\text{H}^+$  were also recorded using  $\text{MS}^n$  scheme and mass-isolation feature available on the trapControl version 7.2 (Bruker Daltonics Inc.) software.

IR experiments were performed in a modified commercial quadrupole ion-trap mass spectrometer (Bruker, AmaZon Speed ETD).<sup>61,62</sup> Ions were generated in an Apollo ESI source. Solutions of  $\text{AB}\cdot\text{H}^+$  ( $1 \times 10^{-6} \text{ mol dm}^{-3}$ ) in MeOH were introduced at 180  $\mu\text{L/h}$  flow rates, electrosprayed, and transferred into the trap. The ions of interest were mass-selected and fragmented by IRMPD. IR spectra in the 700–1800  $\text{cm}^{-1}$  region were recorded using the FELIX infrared free electron laser.<sup>63</sup> FELIX was set to produce IR radiation in the form of 5–10  $\mu\text{s}$  macropulses of 80–120 mJ per pulse at a 10 Hz repetition rate and with a bandwidth of  $\sim 0.4\%$  of the center frequency. The mass-selected ions were irradiated with one macropulse. Resonant absorption of IR radiation leads to an increase of the internal energy of an ion mediated by intramolecular vibrational redistribution (IVR), which eventually leads to unimolecular dissociation.<sup>64</sup> After irradiation, a mass spectrum of the resulting ions in the trap is recorded. At each IR frequency point, six mass spectra were averaged. The dissociation was calculated from the mass spectra by relating

the precursor ion and fragment ion intensities (eq 3) and plotted as a function of IR frequency.<sup>65</sup>

$$\text{IRMPD} = -\ln \frac{\text{Int}_{\text{PRECURSOR}}}{(\sum \text{Int}_{\text{PRECURSOR}} + \sum \text{Int}_{\text{FRAG}})} \quad (3)$$

The IRMPD intensity was linearly corrected for frequency-dependent laser pulse energy. Spectra were also recorded at two levels of laser-pulse energy attenuation (factors of 2.00 and 3.16) to prevent excessive depletion of the precursor ions (saturation) and minimize formation of low  $m/z$  fragment ions which may be undetected in the ion trap and which would result in underestimated IRMPD intensities.<sup>65</sup>

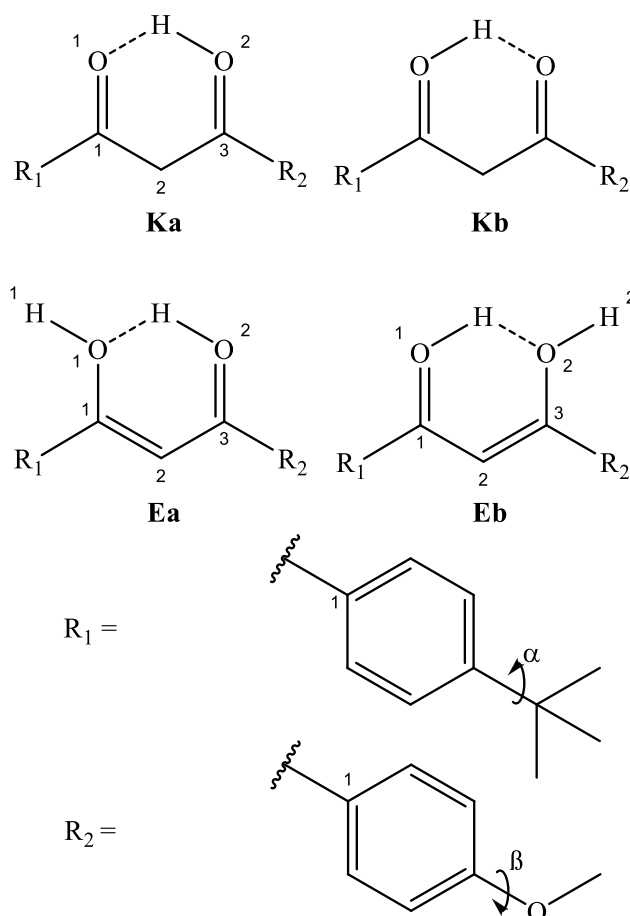
Calculations were performed using density functional theory in Gaussian 09.<sup>66</sup> All reported structures correspond to true minima, as confirmed by frequency calculations. Conformational molecular dynamics searches implemented by Schrodinger's MacroModel were conducted with mixed Monte Carlo torsional and low-mode sampling to generate possible conformers which were rapidly energy gradient minimized with the OPLS3e force field.<sup>67</sup> Unique structures (RMSD 0.4 Å, <100 kJ/mol) were then energy minimized at the  $\omega$ B97X-D/def2-SVP and M06-2X/def2-SVP levels of theory. An implicit solvent model was used to calculate the relative energies of conformers upon solvation. Frequency calculations at the B3LYP/def2-TZVPP level were performed to test that optimized structures are true minima and were used to interpret the IRMPD spectra.<sup>68</sup>

### 3. RESULTS

**3.1. Quantum Chemical Calculations of Gas-Phase and Solution-Phase  $\text{AB}\cdot\text{H}^+$ .** Scheme 2 illustrates the key tautomeric structures of  $\text{AB}\cdot\text{H}^+$ . The atomic numbering system previously used by Kojic et al. is also followed here.<sup>27</sup> The carbonyl group is the primary protonation site, although a natural population analysis of the low-energy structures indicates that the excess charge is delocalized (section S1). A conformational molecular dynamics search was conducted on  $\text{AB}\cdot\text{H}^+$ , with the proton located on either the O1 or O2 site, followed by DFT optimization. Table 1 displays the tautomer relative energies, in the gas-phase and solution (rotamers are omitted from Table 1 as their electronic spectra are expected to be identical at our experimental resolution). The results presented in Table 1 suggest that solvation has little effect on the relative tautomer energies. We note that for the **Kb** structure, it was challenging to identify the minimum, which was only observed in the presence of solvent at the  $\omega$ B97X-D level of theory. The calculated relative energies for the various  $\text{AB}\cdot\text{H}^+$  tautomers lead us to predict that the **Ka** isomer will dominate, both in the gas phase and in ethanol solution, with the **Ea** isomer also being present at a much reduced but still significant level.

The lowest-energy isomers, **Ka** and **Kb**, are planar across the aromatic and diketone moieties. Suffixes **a** and **b** identify tautomer protonation sites as O2 and O1, respectively, and this nomenclature is applied to the enol-like structures as well. The **Ea** structure represents the protonated enol form of  $\text{AB}\cdot\text{H}^+$ , which is nonplanar, with the O1H1 bond (H1/O1/C1/R<sub>1</sub>1) directed +10° out-of-plane and the R<sub>1</sub>-arene by −30° (O1/C1/R<sub>1</sub>1/R<sub>2</sub>2). The **Eb** structure is similarly nonplanar with the O2H2 bond (H2/O2/C3/R<sub>2</sub>1) positioned +12° and the R<sub>2</sub>-arene by −26° (O2/C3/R<sub>2</sub>1/R<sub>2</sub>2). The **Ka** and **Ea** tautomer energies vary significantly with different functionals, with

**Scheme 2. Schematic Diagram of the Key Tautomeric Structures of  $\text{AB}\cdot\text{H}^+$  with Atom and Rotation Coordinate Labels. The **Ka** Ketone and **Ea** Enol Tautomers Are Protonated at the O2 Atom, the **Kb** Ketone and the **Eb** Enol at the O1 Atom**



$\omega$ B97X-D placing **Ea** substantially higher in energy than when using M06-2X. This disagreement mirrors the previous reported issues in predicting relative energy values for the neutral keto and enol tautomers of dibenzoylmethane systems without anharmonic methods.<sup>27,69</sup> Many of these previous papers also identified twisted *Z* and *E* rotamer geometries. Our conformational search identified many such twisted forms, but their relative energies lay at much higher energies (>40 and 30 kJ/mol using the  $\omega$ B97X-D and M06-2X functionals, respectively) so they have been omitted from this work. The high energy of the twisted geometries highlights the electronic stability of the planar, hyperconjugated,  $\text{AB}\cdot\text{H}^+$  ion.

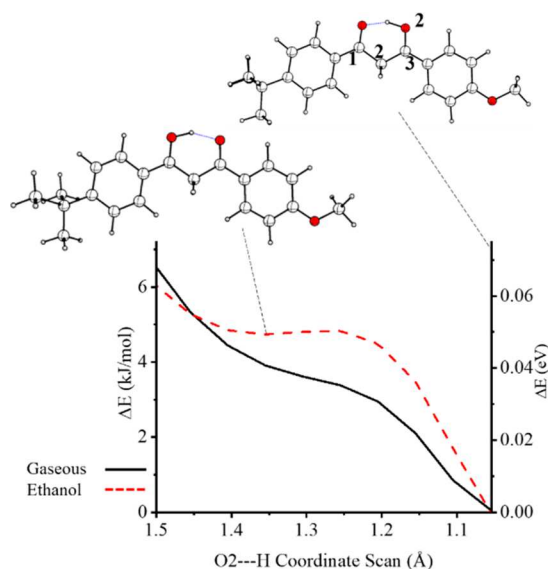
As noted above, the **Kb** isomer only exists as a geometric minimum when optimized using the  $\omega$ B97X-D functional and with a solvent present. Figure 1 shows the relaxed coordinate scan between **Ka** and **Kb** proton transfer geometries as a function of the O2H bond distance from 1.05 to 1.50 Å (a relaxed energy minimization at the  $\omega$ B97X-D/def2-SVP level was performed at each scan coordinate). The calculated intermolecular hydrogen bond length is 1.41 and 1.46 Å in the gas phase and in ethanol, respectively. Our calculations reveal that while a 4 kJ/mol energy difference exists between the proton transfer isomers there is no barrier to this reaction in the absence of solvent. In ethanol, there exists a very small 1 kJ/mol barrier to the shallow potential well. Nonetheless, the



**Table 1.** Calculated Relative Energies of AB·H<sup>+</sup> and Population Analysis. Values in Parentheses Were Calculated in Ethanol Solvent. NM = No Minima Found

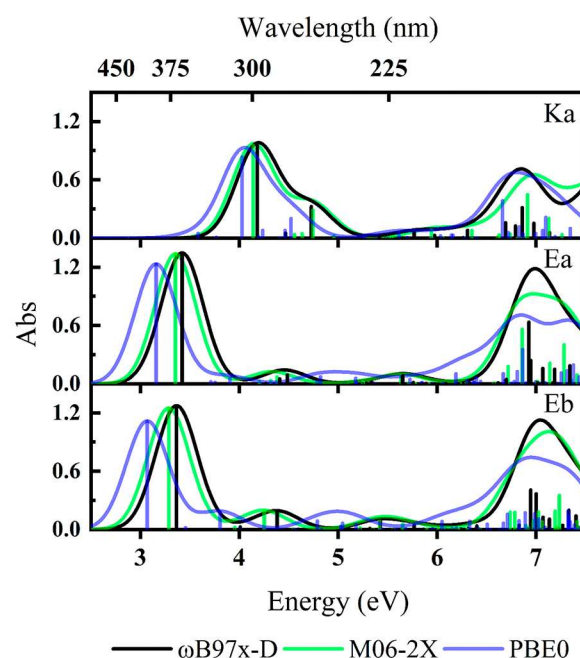
structure	relative energy (kJ mol <sup>-1</sup> ) <sup>a</sup>			population (%) <sup>b</sup>		
	ωB97X-D	M06-2X	B3LYP	ωB97X-D	M06-2X	B3LYP
Ka	0 (0)	0 (0)	0 (0)	99 (77)	75 (83)	84 (91)
Kb	NM (4)	NM (NM)	NM (NM)	NM (23)	NM (NM)	NM (NM)
Ea	16 (17)	4 (6)	8 (11)	<1 (<1)	20 (12)	11 (6)
Eb	20 (20)	9 (9)	12 (13)		4 (5)	4 (3)

<sup>a</sup>Relative electronic energy values are zero-point energy corrected. <sup>b</sup>Boltzmann probability distribution calculated at 100 °C from relative energy difference column values.

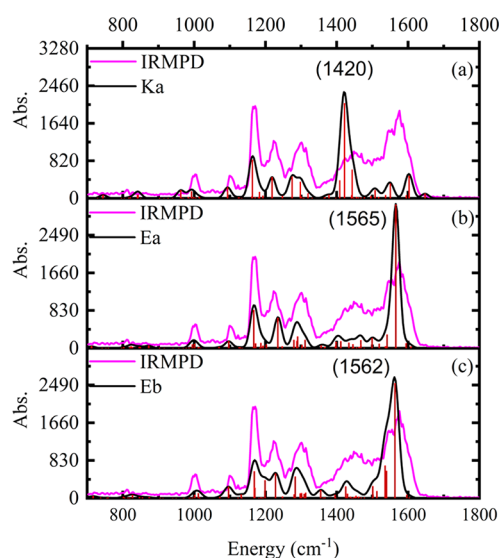
**Figure 1.** Coordinate energy scans about the O2–H bond, presented with selected molecular structures.

**Kb** isomer exists by way of a motional resonance with **Ka** due to the in-plane intermolecular hydrogen bond. A symmetric C2/O2/H in-plane bend (section S1) defines the **Kb** ← **Ka** proton migration and has a zero-point energy of 0.28 eV.

TD-DFT calculations were performed to determine the electronic excitations of the **Ka**, **Ea**, and **Eb** structures. The resulting simulated electronic spectra are presented in Figure 2 for excitation energies between 2.5 and 6.0 eV and reveal that the absorption spectra associated with the low-energy cis structures, **Ka** and **Ea/Eb**, are quite different. The same was observed previously in experiments on neutral AB,<sup>35,40</sup> and is directly related to the substantial change of electron density within the pseudocyclic moiety that occurs upon keto–enol tautomerization.<sup>27</sup> Three calculated spectra are overlaid for each isomer to explore the effect of changing functional. The **Ka** spectrum shows good agreement between the different dispersive functional treatments, while the PBE0 spectrum is red-shifted by 0.2 eV. The convolved spectral shape of **Ka** is nearly identical for all functionals chosen here, with each calculation predicting a strong transition followed by a medium strength transition 0.5 eV higher in energy. The **Ea** spectral profile is also similar for each of the dispersive functionals, with the PBE0 spectrum red-shifted by 0.26 eV. The convolved spectral shape of **Ea** shows two well-separated transitions, with the most intense being the lower-energy transition. Similar results were obtained for the **Eb** tautomer, with the spectrum being red-shifted by 0.06 eV compared to **Ea**. The difference between the most intense **Ka** and **Ea** transitions is 0.76 eV.

**Figure 2.** Calculated gas-phase TD-DFT excitation energies and simulated electronic absorption spectra (ωB97X-D, M06-2X, PBE0) for **Ka**, **Ea**, and **Eb**. Oscillator strengths of individual transitions are given as vertical bars, while the full line spectrum is a convolution of the calculated transitions with a Gaussian function (0.5 eV FWHM). Excitation energies are presented unshifted.

**3.2. IRMPD Spectroscopy of AB·H<sup>+</sup>.** Figure 3 shows the IRMPD total ion yield spectra of AB·H<sup>+</sup> (summed from yield spectra of photofragments at *m/z* 135 and 161 (major) and *m/z* 177 (minor) and *m/z* 255 (minor)), overlaid with the calculated vibrational spectrum of **Ka**, **Ea**, and **Eb**. The **Ka** species is predicted to have a dominant vibrational band at 1420 cm<sup>-1</sup>. A strong vibrational feature is evident in the experimental spectra in this region, consistent with **Ka** being present in the experimental ion ensemble. For the **Ea/Eb** tautomers, the dominant vibrational band over the scanned spectral region is predicted to occur at 1562–5 cm<sup>-1</sup>. We assign the experimental feature at 1575 cm<sup>-1</sup> to this **Ea/Eb** tautomer vibration. The experimental spectrum is therefore consistent with both **Ka** and **Ea/Eb** tautomers being present in the electrospray ion ensemble, as predicted by the *ab initio* population analysis reported in section 3.1. The spectral features that occur across the 1100–1350 cm<sup>-1</sup> region could be attributed to either keto or enol tautomers and so are not discussed in detail here. A series of composite calculated **Ka** and **Ea** infrared spectra (Section S2) support our assignment of

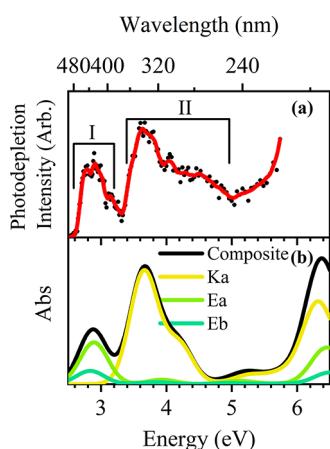


**Figure 3.** IRMPD spectrum of AB-H<sup>+</sup> (in pink) is shown overlaid with simulated spectra (in black) for (a) Ka, (b) Ea, and (c) Eb. The calculated frequencies are scaled by 0.97.

the IRMPD spectrum as arising from a combination of Ka and Ea/Eb tautomers.

### 3.3. Gas-Phase UV Absorption Spectrum of AB-H<sup>+</sup>

Figure 4a displays the gas-phase absorption photodepletion



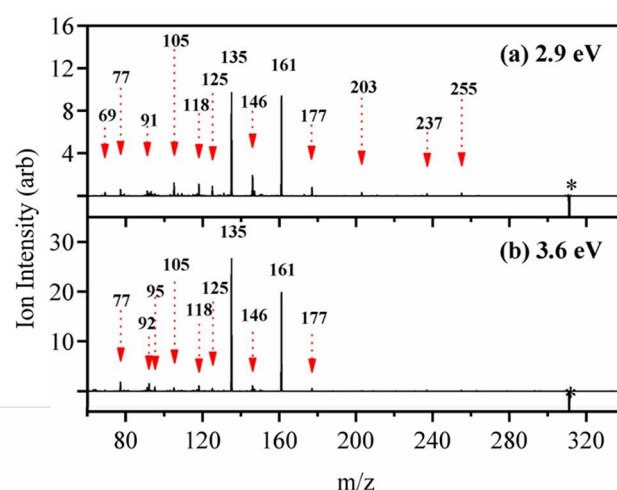
**Figure 4.** (a) Gas-phase photodepletion spectrum of AB-H<sup>+</sup>. The solid line is a 5-point adjacent average of the data points. (b) Composite TD-DFT curves for Ka, Ea, Eb at the  $\omega$ B97X-D/def2-SVP level. The TD-DFT calculated electronic transitions have been red-shifted by 0.53 eV,<sup>70</sup> and intensities weighted by the Boltzmann populations.

spectra of AB-H<sup>+</sup> ( $m/z$  311) over the range 2.5–5.7 eV. There are two distinct bands, labeled I and II, with  $\lambda_{\text{max}}$  values at 2.9 and 3.6 eV, respectively. We note that the falling edge of band I contains a minor shoulder which is likely to be an experimental artifact caused by an OPO crystal changeover at that energy. Band II is asymmetric with an extended shoulder to higher energies. The photodepletion spectrum was recorded under single-photon absorption conditions, confirmed by power studies at the  $\lambda_{\text{max}}$  of bands I and II (section S3).

The main features of the experimental photodepletion spectrum can be well reproduced by the calculated gas-phase

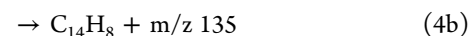
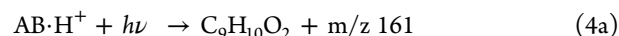
absorption spectrum (Figure 4b), which sums the TD-DFT spectra of the Ka, Ea, and Eb isomers (Section 3.1). (The contributions of each isomer are scaled to match the calculated gas-phase Boltzmann populations (Table 1) and the calculated electronic transitions have been red-shifted by 0.53 eV to match the observed experimental band positions).<sup>70</sup> This allows confident assignment of band I to the bright S<sub>1</sub> transitions of the Ea/Eb pair of isomers, and band II to bright S<sub>1</sub> and S<sub>3</sub> transitions of the Ka isomer (note that depending on the functional used there are minor contributions from weak transitions within band II and small differences in the ordering of states S<sub>1</sub>–S<sub>4</sub>). We note that the increase in photodepletion signal above band II is poorly matched to the minor feature at 5.2 eV of the composite TD-DFT; however, there is a strong feature at 6.4 eV which likely corresponds to this region.

**3.4. UV Photofragmentation of AB-H<sup>+</sup>.** Figure 5 displays the photofragment difference mass spectra of AB-H<sup>+</sup> photo-

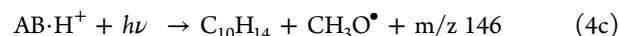


**Figure 5.** Photofragment difference (laser on–laser off) mass spectrum of AB-H<sup>+</sup> excited at (a) 2.9 eV, and (b) 3.6 eV. The asterisk (\*) represents the depleted parent ion signal at  $m/z$  311.

excited at the band I and II maxima (2.6 and 3.6 eV, respectively). The dominant photofragments observed are  $m/z$  161 [eq 4a] and  $m/z$  135 [eq 4b], with both being products of McLafferty-like retro-heteroene hydrogen rearrangements adjacent to the a and b protonation centers.<sup>71</sup>

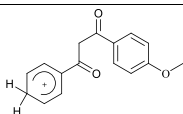

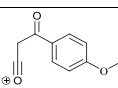

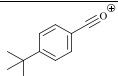


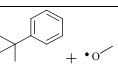

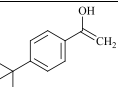


In addition to the hydrogen rearrangement products, several minor photofragments are produced across all probed wavelengths. Two of the more prominent minor photofragments are  $m/z$  146 [eq 4c] and  $m/z$  177 [eq 4d].



These photoproducts arise from proton transfer from a carbonyl to a ring group, with subsequent elimination of neutral molecules. The  $m/z$  146 fragment is an unusual odd-electron photofragment, which is not produced in the collision induced dissociation (CID) of AB-H<sup>+</sup> (section S4). However,

**Table 2.** Proposed Structures for the Major Ionic and Associated Neutral Fragments of  $\text{AB}\cdot\text{H}^+$  ( $m/z$  311) Produced during CID and UV Laser Photoexcitation

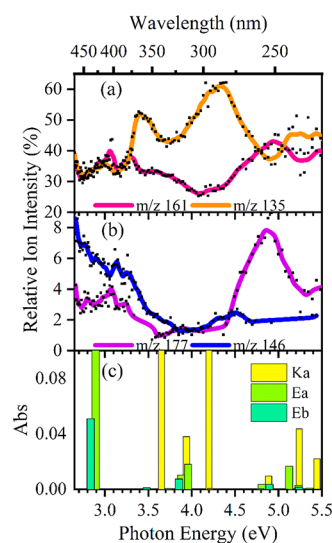
Fragment Mass ( $m/z$ )	Proposed Structure of Fragment	$m/z$ lost from $\text{AB}\cdot\text{H}^+$	Fragment Results from Loss of Neutral	Observed in CID <sup>a, b</sup>	Observed in UV Laser Photoexcitation <sup>a</sup>
255		56		✓ (m)	✓ (w)
177		134		✓ (m)	✓ (w)
161		150		✓ (s)	✓ (s)
146		134+31			✓ (w)
135		176		✓ (s)	✓ (vs)

<sup>a</sup>Notation: very strong (vs), strong (s), medium (m), and weak (w). <sup>b</sup>The IRMPD photofragments are identical to the CID fragments listed here and have similar relative intensities.

$m/z$  147 is measured in both CID and UVPD studies, but only nominally by the latter measurement. This is a notable observation since the  $m/z$  146 fragment, and the accompanying free radical(s), are therefore produced from  $\text{AB}\cdot\text{H}^+$  following UVA excitation only. Table 2 presents proposed structural assignments of the major observed  $\text{AB}\cdot\text{H}^+$  photofragment ions, as well as those produced by thermal fragmentation (CID; section S4). Additional minor fragmentation channels are tabulated in section S4.

To determine whether any of the observed photofragments arise from secondary fragmentation, the major photofragment ions  $m/z$  161 and  $m/z$  135 were isolated in the ion trap and then subjected to photoexcitation. The resulting photofragment action spectra are displayed in section S5 and reveal that neither  $m/z$  161 nor  $m/z$  135 directly photofragments to produce any other observed photofragments.

Figure 6 displays a plot of the wavelength-dependent relative ion yields of the key  $\text{AB}\cdot\text{H}^+$  photofragments, providing a concise overview of the photofragment branching ratios. Full photofragment action spectra are included in section S5. At the maxima of band I (2.9 eV) the major fragment ions  $m/z$  161 and  $m/z$  135 appear in equal proportions with each accounting for roughly 32% of the total photofragmentation signal. The onset of band II (3.3 eV) sees an increase in the relative ion intensity of  $m/z$  135, with peaks in production of this ion at 3.4 and 4.3 eV (where it constitutes 50% and 60% of the total photofragmentation, respectively). The relative intensity of the  $m/z$  161 photofragment falls to 25% at these energies. At photon energies above 4.8 eV, the relative ion intensities of the  $m/z$  161 and  $m/z$  135 pair of photofragments are again



**Figure 6.** Relative ion yield plots for (a) the  $m/z$  161, 135, (b)  $m/z$  146, 177 photofragments of  $\text{AB}\cdot\text{H}^+$  between 2.6 and 5.5 eV, and (c) the TD-DFT calculated excitation energies for each isomer at the  $\omega\text{B97X-D/def2-SVP}$  level. The curved lines included with the data points are a five-point adjacent average of such data points. Calculated excitation energies have been red-shifted by 0.53 eV.

approximately equal, with the total branching into these fragments being slightly higher than in the band I region.

The  $m/z$  146 fragment is produced most intensely in the low-energy spectral region between 2.7 and 3.6 eV, with its relative intensity decreasing across the band I region from 8% to 3%, and with a small enhancement in production around 4.5

eV. The  $m/z$  177 ion is similar across the band I region, with respect to the  $m/z$  146 spectrum. Between 4.5 and 5.2 eV, the relative ion intensity of  $m/z$  177 increases dramatically, peaking strongly around 4.7 eV. Notably, this peak in production of  $m/z$  177 does not follow the same profile as band II of the photodepletion spectra, but does coincide with a pair of predicted weak Ea and Eb excitations at 4.8 and 4.9 eV (Figure 6c).

Approximately 15–20% parent ion depletion ( $I_{\text{off}} - I_{\text{on}}$ ) is not recovered as measurable photofragmentation signal, an effect that can be attributed to production of ion fragments with  $m/z < 50$  which is below the instrumental low mass cutoff. For example, heterolytic dissociation of the  $R_2$ -bound methoxy group would produce an even-electron ion at  $m/z$  31 along with zwitterionic  $C_{19}H_{20}O_2$ .

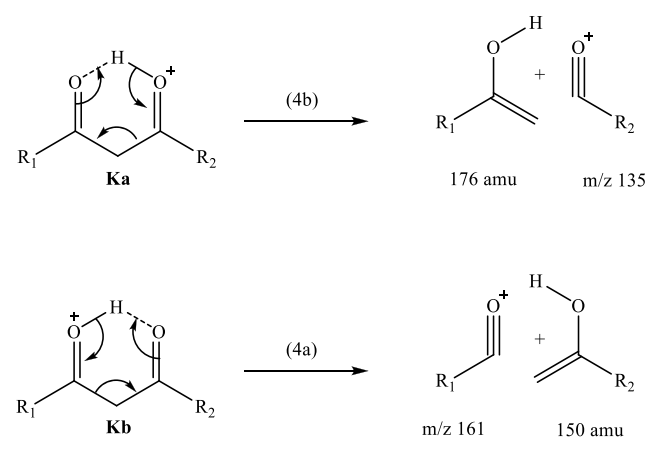
Finally, it is useful to review the photofragments produced compared to those observed via CID. CID, like IRMPD, is equivalent to thermal-induced dissociation and produces so-called statistical fragments.<sup>41</sup> For  $AB \cdot H^+$ , CID produces  $m/z$  161 and  $m/z$  135 as the dominant ionic products (Figure S8), with approximately equal intensity. Notably, these are the major photofragments observed in this study. From the relative ion intensities shown in Figure 6, it is evident that production of the  $m/z$  161 and  $m/z$  135 pair of photofragments is broadly statistical in the regions from 2.7 to 3.2 eV (i.e., the band I region), and above 5 eV (i.e., above band II). However, in the region between 3.7 and 4.7 eV (vis to near-UVA), photofragmentation is clearly nonstatistical with production of the  $m/z$  135 photofragment being photochemically enhanced.<sup>42,72–76</sup>

## 4. DISCUSSION

**4.1. Mechanisms of Dissociation for the Ka and Ea/Eb Isomers of Protonated Avobenzene.** From the calculated TD-DFT spectra presented in section 3.1, excitations associated with the Ka tautomer dominate in the region between 3.7 and 5.0 eV, while Ea/Eb excitations dominate for energies between 2.5 and 3.7 eV. One of the striking features of the ion yield production spectra is that production of the  $m/z$  135 photofragment peaks strongly at 3.6 and 4.3 eV. These energies coincide with the calculated bright transitions for the Ka tautomer (Figure 6c), leading to the conclusion that enhanced production of the  $m/z$  135 photofragment follows excitation of keto tautomer transitions. At the energies where production of  $m/z$  135 is enhanced, the relative ion intensity of  $m/z$  161 decreases, which is expected for a relative ion intensity plot (i.e., if one relative ion intensity increases, another ion intensity must decrease).

Scheme 3 presents the proposed fragmentation pathways for the  $m/z$  161 and  $m/z$  135 fragments, associated with hydrogen rearrangement within the protonated diketo bridge of Ka. Since the odd-electron  $m/z$  162 and  $m/z$  136 photofragments are not observed, we can rule out direct  $\alpha$ -cleavage of the Ka and Kb tautomers, as occurs for the corresponding neutral tautomers in solution.<sup>32</sup> Instead, a retro-heteroene addition of the  $\gamma$ -hydrogen (charge carrier) to the Ka and Kb tautomers generates the  $m/z$  135 and  $m/z$  161 ions, respectively (Kb is included in this scheme, as it was established (section 3.1) to be vibrationally populated by Ka). The Scheme 3 mechanism resembles the classic McLafferty rearrangement for ionic and radical molecules containing a keto group which undergoes  $\beta$ -cleavage.<sup>71,77–80</sup> (For  $AB \cdot H^+$ , a protonated heteroatom occupies the saturated  $\gamma$ -carbon position typical to McLafferty

**Scheme 3.** Proposed McLafferty-like Rearrangement to Form Products 4b and 4a



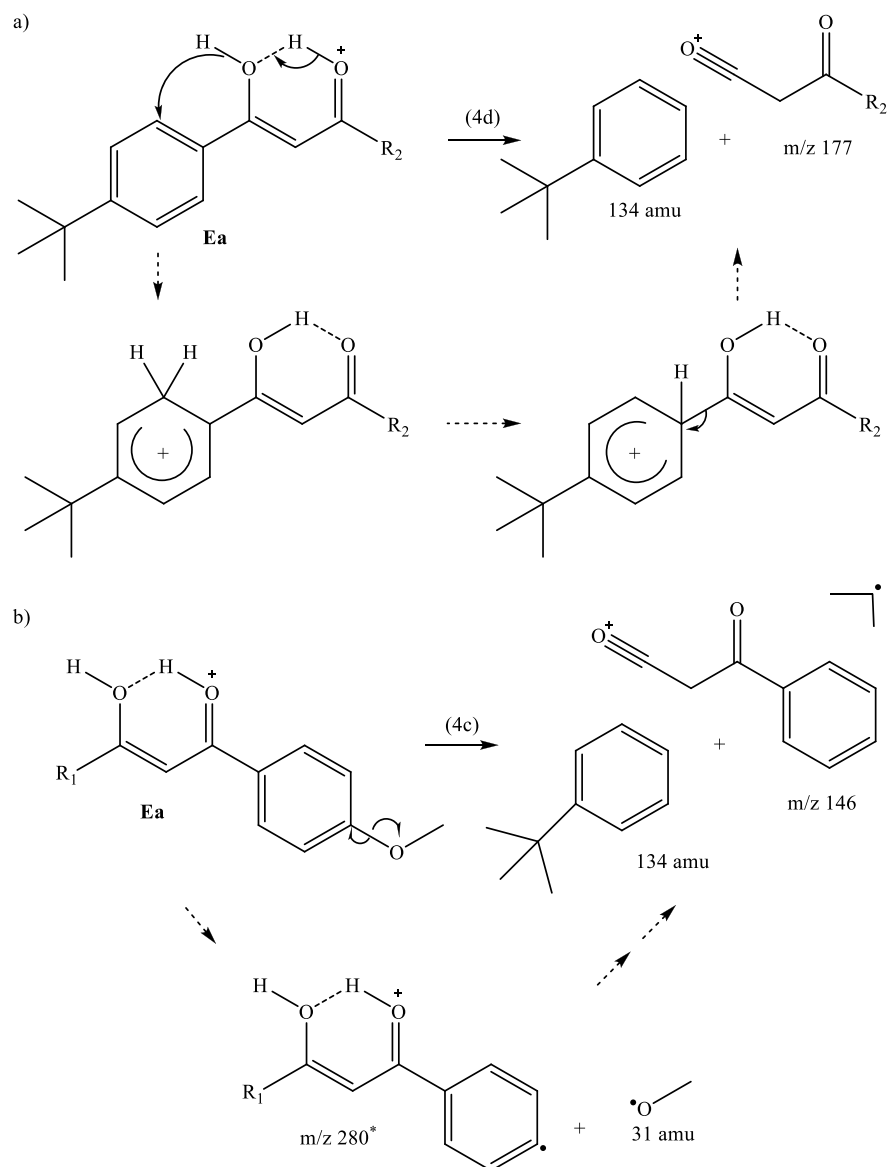
systems.) Scheme 3 illustrates that the  $m/z$  161 and  $m/z$  135 fragments can be produced in a straightforward mechanism from a keto tautomer, but no similar McLafferty-like rearrangement can be deduced from an enol tautomer (the reduced C2  $\beta$ -carbon of either Ea/Eb species needs an additional hydrogen for this reaction (as shown) to occur). Thus, Scheme 3 provides further evidence that  $m/z$  161 and  $m/z$  135 derive from a Ka/Kb tautomer.

The ion fragments  $m/z$  177 and  $m/z$  146 are photoproducts which can be assigned as being unique to the isomer Ea. The spectral profile traces of  $m/z$  177 and  $m/z$  146 shown in Figure 6b match the computed spectra of Ea/Eb (Figure 6c). Scheme 4a presents a tentative mechanism for the formation of  $m/z$  177 from Ea via a proton transfer from the enol to the nearby ring. Following this transfer a ring-walk and subsequent elimination yields the detected ion fragment. The proton transfer ring-walk mechanism proposed here is akin to those proposed previously for fragmentation patterns of elimination substitution (ortho, meta, para) of aromatic systems.<sup>81–83</sup> An analogous mechanism from the Eb isomer (not shown) would yield the  $m/z$  255 ion fragment which was measured with very low intensity and therefore omitted from Figure 6b. At the same time, the observation of the  $m/z$  255 photofragment indicates that Eb is present at low concentration in our ion ensemble. Scheme 4b includes the homogeneous dissociation of the  $R_2$ -methoxy group followed by a mechanism similar to 4a to yield  $m/z$  146.

**4.2. Implied Photodynamics of Ka and Ea/Eb Conformers.** Previous work by Dunkelberger et al.<sup>25</sup> on neutral AB in solution measured nonradiative formation of transient hot ground-state intermediates formed upon excitation of the CE form. These transients were found to be accessed by a series of largely rotational coordinate-driven conical intersections (CIs) in less than 1 ps, with the chelation of CE being broken by either out-of-plane OH motion, or C1–C2, C2–C3 centered dihedral (i.e., twisted) rotations. Solvent effects were found to influence the relaxation rates back to the CE equilibria, with the rotated OH form being the most rapid to equilibrate at  $\tau = 1.3$  ps, while the twisted forms displayed tens of picoseconds to microsecond–millisecond measured lifetimes. A CI was identified along the intramolecular proton transfer tautomerization coordinate which had a relaxation lifetime of 6 ps. Overall, these observations revealed that the



**Scheme 4.** Proposed Product Formation from the  $\text{AB}\cdot\text{H}^+$  Enol Tautomers by (a) Proton Transfer Preceding Ring-Walk and Elimination [4d] and by (b) Homolytic Fission of the  $\text{R}_2$ -Methoxy Bond Prior to Proton Transfer Preceding Ring-Walk and Elimination [4c]. Dotted Arrows Indicate the Proposed Reaction Path. \* $m/z$  280 Is Not Detected



rate of enol to keto tautomerization for the neutral in solution is low.

It is useful to consider how the known photodynamics of the neutral change upon protonation. From the discussion above, it is clear that pathways involving the OH group (i.e., out-of-plane OH rotation and tautomerization) play key roles in the decay dynamics. Protonation is highly likely to disrupt these pathways, since protonation of the carbonyl of the CO of the CE will lead to a decrease in the intramolecular hydrogen-bond strength.

Given that  $\text{AB}\cdot\text{H}^+$  produces the  $m/z$  135 and 161 photofragments across the  $\text{Ea/Eb}$  excitation wavelengths, and the fact that  $\text{Ea/Eb}$  structures cannot produce these product ions (section 4.1), our results indicate that enol to keto photoisomerization  $\text{AB}\cdot\text{H}^+$  must be occurring, followed by subsequent degradation into  $m/z$  135 and 161. Even at the peak of  $\text{Ea/Eb}$  absorption (2.9 eV), 75% of the detected photofragments arise from keto structures. For neutral AB,

enol to keto tautomerization has a very low cross-section from the measured rates.<sup>25,30,40</sup> If we assume that the photofragment cross-section is directly proportional to the relaxation rates of the initially populated excited states into photoproducts, then our experiment suggests that the cross-section for dissociative excited-state decay observed for  $\text{AB}\cdot\text{H}^+$  is substantially greater than for AB in solution. One explanation of this observation is that protonation lowers the energetic barriers to “twisting” mechanisms that enhance enol to keto photoisomerization. High level computational potential energy scans of transition state geometries would be valuable to clarify this and solution-phase studies on the protonated species are clearly warranted.

This work has shown that the protonation of the  $\beta$ -diketone bridge is responsible for a shift from type I to II photoelimination of AB.<sup>31,84</sup> Neutral AB in solution is known to undergo Norris Type I (photoinitiated  $\alpha$ -cleavage) dissociation and subsequent recombination.<sup>32</sup> This dissociation process produces radical fragments aided, in part, by the long-range

repulsive force of an excited triplet spin-state.<sup>31</sup> Norris Type II reactions, which are analogous to thermally activated McLafferty rearrangements seen in mass spectrometry, rely on the acidic nature of excited states to access an  $S_0 \leftarrow S_1/T_1$  CI by way of a downhill  $\gamma$ -hydrogen potential coordinate, widely known as excited-state intramolecular proton transfer.<sup>31,84–86</sup> Whether the photodegradation of Ea/Eb proceeds through an excited triplet state cannot be directly experimentally identified here. However, the observation of the  $m/z$  146 photofragment (a free-radical fragment) is indicative of fragmentation via a triplet state.

Computational work on neutral AB by Kojić et al. showed that under both gaseous and solvated conditions (MeCN), the  $S_1$  state of CE has a  $T_1$  state of alternate spin parity available energetically within the zero-point-energy window of  $S_1$  at the Franck–Condon geometry.<sup>27</sup> If  $T_1$  is accessed, there is a flat potential about the out-of-plane OH dihedral coordinate which crosses the contorted  $S_0$  state. An easily accessible  $T_1$  state for Ea/Eb at  $S_1$  Franck–Condon geometries may facilitate the rearrangements shown in Scheme 4. Future calculations of the excited state potential energy surfaces would be valuable to provide further insight into the photophysics.

## 5. CONCLUDING REMARKS

In summary, we have investigated the photochemistry of selected enol and keto isomers of protonated avobenzone in the gas phase. IRMPD spectroscopy was employed to confirm the presence of both enolic (Ea/Eb) and diketone (Ka) isomers of  $AB\cdot H^+$  in the electrosprayed ion ensemble. The dominant electronic excitations of the enol and keto tautomers of gaseous  $AB\cdot H^+$  were found to be well separated, allowing us to determine the tautomer-dependent photofragmentation products. Such measurements would be extremely challenging to perform in solution, due to the solution-phase tautomers displaying overlapping spectral profiles. Analysis of the wavelength-dependent production of the various photofragments revealed that near-UVA photoexcitation provides a route to enol-to-keto tautomerization, consistent with previously proposed mechanisms for photodegradation of avobenzone in the condensed phase. Finally, we observed odd-electron photofragments in low quantum yield from the enol tautomer of  $AB\cdot H^+$ , which indicates that a previously unidentified photosensitizing pathway is present for this isomer. Prior studies of the neutral AB system have identified triplet excited states for the keto form.

We note that gas-phase photoisomerization has been studied by Bieske and co-workers, using ion mobility to detect photoisomers generated from protonated azobenzenes.<sup>87–89</sup> Sheps and co-workers have performed an elegant series of experiments on gaseous neutral acetylacetone (a prototypical  $\beta$ -diketone), to probe keto to enol tautomerization using a combination of photoionization techniques.<sup>90</sup> However, work presented here provides an important complement to these earlier studies since it demonstrates a method for directly detecting the photoproducts of a photoisomerizing system.

In the context of the current study of  $AB\cdot H^+$ , it is useful to compare the results to our previous work on protonated oxybenzone,  $OB\cdot H^+$ . Oxybenzone is another widely used UV sunscreen, and provides an interesting contrast to AB since it is known to exhibit excellent photostability.<sup>4,91–94</sup> The  $OB\cdot H^+$  contains a pseudocycle, formed by the intramolecular bond between the hydroxy and carbonyl groups. The McLafferty-like elimination reaction responsible for the most intense  $AB\cdot H^+$

fragments cannot be produced straightforwardly from the enolic tautomers of  $AB\cdot H^+$ , or similarly, from  $OB\cdot H^+$  due to the pseudocyclic nature of both structures. Moreover, while an enol-to-keto tautomerization in  $AB\cdot H^+$  can lead to this elimination reaction, the direct conjugation of the pseudocycle and benzene ring in  $OB\cdot H^+$  restricts this reaction pathway. Such comparative measurements are valuable for gaining a broader understanding of the molecular-level performance of different sunscreen molecules, and may be useful in the context of efforts to develop new sunscreen molecules.<sup>2,95</sup>

## ■ ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpca.0c01295>.

Additional DFT and TD-DFT calculations; composite  $AB\cdot H^+$  IR Ka and Ea spectra; photodepletion laser power dependence; Collision induced dissociation measurements on  $AB\cdot H^+$ ; additional photofragmentation measurements; possible heterolytic cleavage products of  $AB\cdot H^+$  isomers (PDF)

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### Notes

The authors declare no competing financial interest.

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